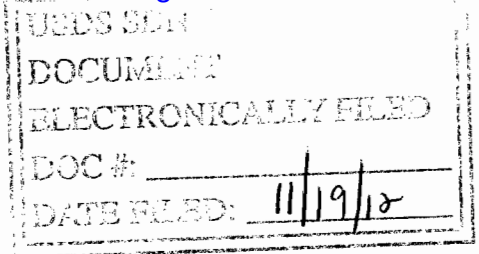


UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK



UNITED STATES OF AMERICA

-v.-

DANIEL E. MARINO,

Defendant.

:
:
: FOURTH AMENDED ORDER
: OF RESTITUTION
:
: 05 Cr. 1036 (CM)

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WHEREAS, on September 29, 2005, DANIEL E. MARINO, the defendant, pleaded guilty to conspiracy to commit investment adviser fraud, mail fraud and wire fraud, in violation of 18 U.S.C. § 371; investment adviser fraud, in violation of 15 U.S.C. §§ 80b-6 and 80b-17; mail fraud, in violation of 18 U.S.C. § 1341; and wire fraud, in violation of 18 U.S.C. § 1343;

WHEREAS, on January 29, 2008, DANIEL E. MARINO, the defendant, was sentenced, inter alia, to a term of 20 years in prison, and to pay restitution in an amount and manner to be determined by the Court at a later date;

WHEREAS, on April 17, 2008, an Order of Restitution was entered against DANIEL E. MARINO, the defendant, in the amount of \$300,000,000.00 payable to the persons, and in the individual amounts, identified on Attachment A to the Order of Restitution;

WHEREAS, on June 30, 2009, following motions by certain investors who suffered a pecuniary loss after entry of the Order of Restitution, an Amended Order of Restitution was entered against DANIEL E. MARINO, the defendant, in the amount of \$300,000,000.00 payable to the persons, and in the individual

amounts, identified on Attachment A and B to the Amended Order of Restitution;

WHEREAS, on or about September 30, 2009, following motions by additional investors who suffered a pecuniary loss after entry of the Order of Restitution, a Second Amended Order of Restitution was entered against DANIEL E. MARINO, the defendant, in the amount of \$300,000,000.00 payable to the persons, and in the individual amounts, identified on Attachment A, B, C, and D to the Second Amended Order of Restitution;

WHEREAS, on or about September 16, 2011, following motions by additional investors who suffered a pecuniary loss after entry of the Order of Restitution, a Third Amended Order of Restitution was entered against DANIEL E. MARINO, the defendant, in the amount of \$300,000,000.00 payable to the persons, and in the individual amounts, identified on Attachment A, B, C, and D to the Third Amended Order of Restitution;

WHEREAS, on or about June 8, 2012, a Motion to Amend the Restitution Orders was filed by Marvin E. Bruce Living Trust ("Bruce Trust"), Mary P. Smythe Residuary Trust ("Smythe Trust"), John D. Canale, III ("Canale"), and D. Canale Beverages, Inc., now known as D. Canale & Co. Inc. ("Canale Beverages"), requesting that they be included in the restitution orders, as having suffered pecuniary losses in the amounts, respectively, of \$1,775,000.00 for Bruce Trust, \$1,250,000.00 for Smythe Trust,

\$1,000,000.00 for Canale, and \$1,500,000.00 for Canale Beverages;

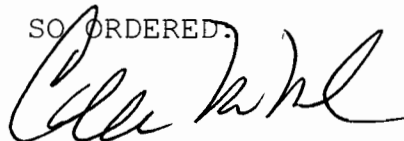
WHEREAS, on or about November 13, 2012, a Motion to Amend the Restitution Orders was filed by Freestone Low Volatility Partners, LP ("Freestone"), requesting that it be included in the restitution orders, as having suffered a pecuniary loss in the amount of \$500,000.

WHEREAS, the Government has advised the Court that it believes the motions to amend the restitution orders brought by Bruce Trust, Smythe Trust, Canale, Canale Beverages, and Freestone are warranted and should be granted;

IT IS HEREBY ORDERED, ADJUDGED AND DECREED that DANIEL E. MARINO, the defendant, shall pay a total of \$300,000,000.00 in restitutions to the persons, and in the individual amounts, identified on Attachments A, B, C, and D annexed hereto.

Dated: New York, New York
November 16, 2012

SO ORDERED:



HONORABLE COLLEEN McMAHON
UNITED STATES DISTRICT JUDGE

ATTACHMENT A

ATTACHMENT A

BAYOU		
Proposed List of Victims		
Investor Name	Alternate Contact	Loss Amount
ON-SHORE FUNDS		
1331 Foundation	Israel, Lawrence J.	\$ 145,000
305 Partners LP	Jenkins, James C.	\$ 2,500,000
A. W. Jones Company	Burch, Robert	\$ 5,000,000
Adams, Eula		\$ 400,000
Ad-In Partners	Spiro, William	\$ 1,000,000
Administrators for the Professions, Inc.	Weyand, Michael	\$ 350,000
Alan J. Schottenstein Family Limited Partnership	Manager, Office	\$ 1,000,000
Allen, Joe E.		\$ 1,100,000
Ark Multi-Manager Fund, LP	Krieger, John	\$ 450,000
Amiz Builders Profit Sharing Trust	Amiz, K. Allan	\$ 750,000
Amiz Family Foundation	Amiz, K. Allan	\$ 1,500,000
Thomas E & Andrea J Amiz Itee Amiz Family Revoc. Trust dtd 5/3/2001	Amiz, K. Allan	\$ 600,000
Aron, Jacqueline	Lafayette Enterprises	\$ 200,000
Aron, Peter A.	Lafayette Enterprises	\$ 200,000
Aron, Robert	Lafayette Enterprises	\$ 200,000
Asgard LLC	Jackson, Christopher	\$ 1,000,000
AW Jones Hedged Equity LP	Burch, Robert L.	\$ 1,450,000
Rushlon O. Backer IRA		\$ 250,000
John W. Bailey IRA	C/o Donald Abernathy	\$ 500,000
Trait Ridge Flatiron Fund LP	Finley, J. D.	\$ 400,000
Silver Creek Long/Short Holdings LLC	Dillon, Eric	\$ 43,000,000
Beckwith Invest Ltd Partnership	Trabucco, John	\$ 2,000,000
Benning Management Group Defined Benefit Pension Plan	Lacher, Ralph	\$ 455,000
Bermuda Fund, LP	Keck, Robert T.	\$ 5,000,000
MB Absolute Return Fund Ltd.	Bloom, Mark E.	\$ 1,300,000
Dr. Edward Besner IRA		\$ 248,000
Betty & Jay Partners LP	Mangene, David	\$ 500,000
Broad-Bussel Family LP	Bussel, Dan	\$ 1,250,000
Burton Partners III LP	Glenn, II, Thomas K.	\$ 2,000,000
Cadmus Partnership Ltd	Ross, Stephen M.	\$ 1,570,000
Cambridge Marketing Pension Plan	Schaeffer, Hillary E., Esq.	\$ 280,000
John Candela Revocable Trust		\$ 1,250,000
Capital Appreciation Partners, LP	Snyder, Alan C.	\$ 700,000
Glass, Caroline B.		\$ 1,140,000
Cllico Global Custody (NA) NC KBCFB (CI) as nominee for TS	Costello, Clara	\$ 663,500
Rollover IRA of Shellen Ciyatt, Jr.		\$ 500,000
Colchis Capital Partners LP	Conrads, Robert & Edward	\$ 2,000,000
Coleman, Katherine & T Brooks		\$ 500,000
Cary Cooper IRA		\$ 230,000
Cottonwood Fund Series of the SALI Multi-Series Fund, LP	Nieman, Thomas	\$ 2,000,000
Cresus Investment Partners VIII LP	Mangene, David	\$ 2,627,000
Myron Crystal IRA		\$ 255,857
Culliss, Gary		\$ 250,000
Custom Strategies L.P.	Johnson, MaryAnn	\$ 2,087,450
Daley Investment Trust	Daley, Joe	\$ 150,000
Danforth, Brad		\$ 150,000
DB Structured Products Inc.	Alonso, Rosaria	\$ 15,810,800
Dentistry and Design Employees Profit Sharing Plan & Trust	Pullen, Clifford Van	\$ 500,000
DePauw University	Bice, Keith	\$ 3,250,000
Dr. Philip Markin Profit Sharing Plan & Trust		\$ 650,000
Jake Easton III IRA		\$ 350,000
E-C Fund LP	Buik, Richard	\$ 1,300,000
Eclipse Fund LLC	Cunningham, Brian	\$ 1,250,000
Kenneth A and Roberta E Eldred Revocable Trust	Eldred, Kenneth & Roberta	\$ 1,000,000
ESUM Partnership #2	Muse, Albert	\$ 900,000
Eye & Ear Foundation	Muse, Albert	\$ 1,200,000
Thomas Fell IRA		\$ 350,000
Fekete, Michael & Susan		\$ 500,000
Feldman, Jeffrey		\$ 400,000
Fine Family Trust	Fine, Ira & Barbara	\$ 200,000
Fine Management Corporation Money Purchase Pension Plan	Fine, Ira	\$ 175,491
Harold Foonberg Family Trust	Manager, Trust	\$ 350,000
Byron Foster Trust	Mangene, David	\$ 600,000
FKB Trust	Bowers, Fred	\$ 500,000
GIF Inc.	McAlister, J.D.	\$ 700,000

ATTACHMENT A

Investor Name	Alternate Contact	Loss Amount
Gleason, Thomas		\$ 300,000
Ronald Greenspan IRA		\$ 250,000
Guardian Two LP	Gardner, Timothy	\$ 900,000
Habib, Farzana S.		\$ 50,000
Robert Hamigan IRA		\$ 350,000
Andrew Heck		\$ 420,000
High Sierra Investments	Gullen, Trini	\$ 1,700,000
Hill, Bruce H. C.		\$ 250,000
Hill, Christopher C.		\$ 1,450,000
Hill, Russell L. C.		\$ 1,000,000
Norman Hirsch		\$ 150,000
Holland, Betsy A.		\$ 450,000
InAltra US World Fund LP	David, Zale	\$ 500,000
Christine Incontro IRA		\$ 300,000
Sands, Lenny & Corine		\$ 242,175
Israel, Ann R.		\$ 100,000
Jordan Family Revocable Trust dtd 8/25/95	Jeffrey D & Karen Jordan, TTEES	\$ 1,000,000
Jepson, Hans G.	Jepson, Hans G.	\$ 100,000
Kaplan Jones Family Trust	Kaplan, Mitchell	\$ 350,000
Kaplan Stahler Agency Retirement Trust Define Pension Plan	Kaplan, Mitchell	\$ 400,000
Gerson Kaplan IRA	Kaplan, Mrs Chaya Morman, Kaplan, IRA	\$ 850,000
Ann Keller Lingo Revocable Trust	Lingo, Ken	\$ 1,000,000
Killinger, Scott		\$ 210,000
Klement, Amy Rowe		\$ 200,000
Korel Foundation	Hardin, Claudia	\$ 1,750,000
Krueger, K H Wm		\$ 500,000
Lacher Family Trust	Lacher, Ralph & Jackie	\$ 330,000
Latta Family Trust	Latta, Laurence	\$ 400,000
Lawrence, Francis & Helen	c/o Grant, Tanl, Barash & Altman, Inc.	\$ 250,000
Lawson, H Blaine Jr		\$ 500,000
LIBOR Partners	Jenkins, James	\$ 2,000,000
Lingo, Ken		\$ 400,000
Long Short Fund I	Droz, Garay P.	\$ 2,900,000
Longboat Capital Partners	Capizzi/Smith, Mike/Kyle	\$ 2,000,000
Louis Marsh Living Trust dated 10/12/04	Young, Stanford, President	\$ 750,000
Donald MacShane IRA		\$ 681,798
Mann, Michael		\$ 46,100
MAPS Charter Fund LP	Smith, Kyle	\$ 1,250,000
Marini, Paul A.		\$ 500,000
Mayfield Investment Partners LP	Smith, Kyle P.	\$ 750,000
McKee, Donald F. & Rader, Elizabeth M.		\$ 1,150,000
McNeill, Robert, IRA		\$ 680,000
Robert P. McNeill Insurance Trust dated 3/17/1968		\$ 1,266,395
Moshe I. Melder IRA		\$ 500,000
Melvin N. & Marcia A. Grossman Family Trust	Grossman, Melvin	\$ 850,000
Merlis Green & Assoc, Defined Benefit Pension Plan	Merlis, Michael	\$ 375,000
Messer, Alan & Geraldine		\$ 500,000
Meyers, Leon	Myers, Leon	\$ 650,000
Leon Meyers Itea w/ld 1/21/76 Ben Meyers Grantor		\$ 600,000
Michelson, Marie Louise		\$ 1,400,000
Michelson, Marie Louise and Michelson, Michelle JT		\$ 1,200,000
Miller, Lawrence		\$ 1,192,300
Miller, Lawrence		\$ 750,000
Miller, Lawrence IRA		\$ 580,438
Monis III, William H.		\$ 950,000
The Mosaic Fund L.P.	Myers, Leon	\$ 4,500,000
Mottahedeh, Roy P		\$ 250,000
Mount Rainier LP	O'Brien, James	\$ 850,000
Multi-Dimensional Fund LP	Ehrlich, Richard	\$ 1,900,000
Muse, Albert C		\$ 400,000
Alexandra V. Muse Voluntary Trust dtd 1/5/87	Muse, Albert	\$ 470,000
Nancy C. Muse Voluntary Trust dtd 1/5/87	Muse, Albert	\$ 470,000
Musser, Hilary G.		\$ 250,000
Kathryn L. Nolhacker Revocable Trust Number One v/a 5/02/1988		\$ 600,000
Pacific Peak Partners LP	Cunningham, Brian	\$ 500,000
Palm Beach Atlantic University	Gell, George	\$ 500,000
Parkland Investment Partners, LP	Smith, Kyle P.	\$ 750,000
Pasternak Baum & Co Employee Profit Sharing Plan F/B/O Arthur Brainson	Brainson, Arthur	\$ 900,000
Jon T. Pawley IRA		\$ 250,000
Payson, Marlin		\$ 150,000
Payson, Marlin & Doris		\$ 250,000
Phoenixian Trading Partners LP	Marlin, James	\$ 1,800,000

ATTACHMENT A

Investor Name	Alternate Contact	Loss Amount
Physician's Reciprocal Insurers	Weygand, Michael	\$ 8,000,000
Sleven Pomerance IRA		\$ 300,000
Prosthodontic Assoc P.C. Retirement Trust	Hribar, Jr., George	\$ 250,000
Rayne Mark Investments LLC	Crossen, Mark	\$ 750,000
Regent University	Isakoff, Lou	\$ 4,750,000
Freddie Reiss IRA		\$ 708,679
Rembrandt Partners LP	Latella, Laura	\$ 6,250,000
Renlo, Dr Robert		\$ 225,000
Revolutionary Graphics Inc	Schaeffer, Hilary E., Esq.	\$ 5,710,000
Robertson, Timothy B.		\$ 1,500,000
Rosenblum, Jeffrey & Leonor R.		\$ 750,000
Samio Defined Benefit Pension Plan	Lovullo, Sam	\$ 769,301
Saul Partners LP	Saul, Andrew	\$ 2,000,000
William Scalla IRA		\$ 92,000
Schrage, Leonard and Terma		\$ 180,000
Morris Schrage IRA		\$ 522,000
Morris Schrage Trust		\$ 1,262,368
SES Family Investment & Trading Partnership LLP	Zeitler, Roz	\$ 750,000
Siegesmund III, John C		\$ 250,000
Ann W. Silverstein 2001 Revocable Trust	Silverstein, Ann W.	\$ 500,000
Singer Family Trust	Singer, Jeffrey & Kellie	\$ 150,000
Jeff Singer IRA		\$ 175,062
Dr. Gerald Smallberg IRA		\$ 284,412
Somers & Co F/B/O Suotitus Masters Fund LP	Merine, Jean Marc	\$ 2,965,000
South Cherry Street Partners	Groothuis, Fred	\$ 1,150,000
Spiller, David		\$ 100,000
Sterling Partners Fund LLC	Glenn, II, Thomas K.	\$ 2,500,000
Salay, Stephen G.		\$ 250,000
Sam A. Stephens Charitable Remainder Trust	c/o Shelton Ciyali	\$ 500,000
Sterling Insurance Agency Inc PSP	Hirsch, Mr. Richard	\$ 289,382
Turnerac Fund Series of the Sali Multi-Series Fund LP	Nleman, Thomas	\$ 2,000,000
Taube Family Foundation	Meyerson, Rick	\$ 1,100,000
Theodore N. Taube, IRA	Myerson, Rick	\$ 475,000
TFT Partners LLC	Myerson, Rick	\$ 500,000
The 1183 Trust	Glenn, II, Thomas J.	\$ 750,000
The Keystone Company	Muse, Albert	\$ 1,400,000
The Mondak Trust	Umansky, Julio	\$ 188,650
The Sentinel Unrelated Fund	Swanson, Bruce	\$ 1,000,000
David Tisherman IRA		\$ 239,898
TMG Investments II (The Management Group)	Mundel, Rob	\$ 765,000
Toik, Gayla Lynn		\$ 450,000
Travis Company JV	Hill, Roger C.	\$ 1,500,000
William F. Regnery Trust	Regnery, William	\$ 700,000
Trustees of Lawrence Academy	Deasy, Linda	\$ 650,000
UM Investment Trust II FBO Sole Series Undiscovered Managers Spinnaker Fund	Cunningham, Brian	\$ 635,000
Umansky Family Trust	Umansky, Betty	\$ 400,000
Umansky, Alejandro		\$ 215,624
Umansky, Julio		\$ 200,000
Umansky, Leonardo		\$ 300,000
UNIFAM, LP	Nicholson, LeeAnn	\$ 1,500,000
Universal City Nissan	Schrage, Lenny	\$ 2,057,869
Arthur & Debra Valner Trust	Valner, Arthur/Debra	\$ 267,000
Susan Van Patten Trust	Manager, Office/Susan	\$ 500,000
Vestis Diversified LP	Quadeer, Afroz	\$ 376,000
Verity Associates LP	Fink, Michael F.	\$ 400,000
Villanueva, Edward		\$ 750,000
William Wallert IRA		\$ 847,500
Welner Investments	Welner, Bruce B.	\$ 1,500,000
Welss, Roger J		\$ 350,000
West Mountain Partners LP	Alar, Paul	\$ 1,400,000
Westley, Joseph		\$ 175,000
William Regnery Trusts Partnership II	Manager, Office	\$ 950,000
Williams, John H.		\$ 1,476,000
WIP LLC	Wurtzel, Alan	\$ 500,000
Woodland Partners LP	Bernstein, Todd	\$ 3,500,000
David Young IRA		\$ 194,025
Yuengling Corporation	Yuengling, Frederic	\$ 585,000
Subtotal Onshore Claims		\$ 250,411,974.00

ATTACHMENT A

Investor Name	Alternate Contact	Loss Amount
OFF-SHORE FUNDS		
Anglo-Idish Bank (Suisse), SA	antonio.peralore@angloisishbank.ch	\$ 1,405,000
BIM Alternative Investments SPR SGA Client High (Banca IMI Client)	kevin.gillece@bipc.it	\$ 1,000,000
BNP Paribas Securities Services Luxembourg	Lahaja, Anthony	\$ 4,000,000
DEA Capital Spa (Old CDB Web Tech Spa)	Moscatelli, Vittorio	\$ 1,500,000
Citico Global Custody NV 211070	Itoh, Yasuo	\$ 1,500,000
Citico Global Custody NV - Cash 102448	Costello, Clara	\$ 435,000
Citico Global Custody NV 2D0158	Costello, Clara	\$ 1,000,000
Citico Global Custody NV 210018 (for Infinity Capital SA)	Costello, Clara	\$ 15,200
Citico Global Custody NV ref 190942 (Stratos Fund/R Callahan)	Costello, Clara	\$ 1,000,000
Citico Global Custody Scala Fund of Funds (Gabelli)	Martina, Verrian	\$ 1,200,000
Citico Global NV (NA) for Select Multi Strategic Fund	Raine, Michelle La	\$ 3,000,000
Close Trustees (to Meritage Investments)	Juan Howard and Catherine Spencer	\$ 500,000
FAM Global (Fam Classic...)	Ng, Tom	\$ 650,000
Fortis Bank (Cayman) Ltd as Custodian of Tradex Global Master Fund	Beatt, Michael	\$ 500,000
Guernsey Ltd (Penity Investments)	McShea, Keith	\$ 1,000,000
Jewish Federation of Metro Chicago	Sinclair, Kent	\$ 4,000,000
Kredietbank S.A. Luxembourgaise For Customers Account	LeVallant, Jerry	\$ 1,715,000
MB Absolute Return Fund Ltd (via Butterfield)	Bloom, Mark E.	\$ 750,000
Meyers, Leon, MPP Plan	Meyers, Leon	\$ 850,000
Nephrology Asso PC Pension Plan / Dr Chaim Charytan	Charytan, Dr. Chaim	\$ 1,500,000
Pyxis Investment Strategies LLP (Stone Corporation)	Hamilton, Jay	\$ 6,000,000
Robinson & Co - a/c 0256883 (Focus Investment Group)	Jones, Annaliese	\$ 65,700
Sterling Stamos Growth (Offshore) Fund Ltd	Kanover Esq., Jared A.	\$ 97,000
Sterling Stamos Liquidity (Offshore) Fund	Kanover Esq., Jared A.	\$ 70,600
Sterling Stamos Security (Offshore) Fund Ltd	Kanover Esq., Jared A.	\$ 477,200
Bedell Trustees Ltd re: The M Wohl 1965 Discretionary Settlement	Hayer, Anita	\$ 500,000
Meyers, Leon M. (Fr Interest in Tremont Insurance)		\$ 672,488
Forevergreen Partners (Fr Interest in Tremont Insurance)	Doyle, David	\$ 502,512
Vestis Diversified International Ltd - DA2	Whelan, Constance	\$ 300,000
Wise Global	Lo, Florence	\$ 1,000,000
Subtotal Offshore Claims		\$ 37,195,700.00
Total Onshore Funds & Offshore Funds Claims		\$ 287,607,674.00
General Victims		
ERF Hedge Fund LLC		\$ 357,500.00
Tom & Nancy Juda Living Trust		\$ 250,000.00
WAM Long/Short Partners	Hauswirth, Christopher	\$ 333,300.00
Subtotal General Victims		\$ 940,800.00

ATTACHMENT A

Redeeming Victims- Altegris	Alternate Contact	Net Loss
		After Reimbursement
Bauer, Warren L & Lynda M		\$ 42,600.00
Dorothy H. Bjurston Trust		\$ 42,500.00
Bonham, Ronald A & Leslie, Angela J		\$ 42,500.00
Brown, Douglas II		\$ 42,500.00
John C. Brunk Rollover IRA		\$ 42,500.00
Christen, Samuel E.	Allen, Richard M	\$ 468,502.00
Daedalus Financial Corporation	White, Charles E.	\$ 42,500.00
Eastman, Ronald E & Marilyn		\$ 17,000.00
Gardner, Thomas		\$ 85,000.00
Gile-Ratcliff, Elizabeth S	Gile, Robert	\$ 42,500.00
Graves Family Partnership	Graves, William	\$ 125,000.00
Margaret J. Hartzler IRA		\$ 42,500.00
Hegewald, Gary & Judith		\$ 42,500.00
Horvath, Zoltan P & Marilyn D		\$ 78,200.00
Klein, Barrett & Cherie		\$ 42,500.00
Lebowitz, Michael & Marilyn		\$ 175,000.00
The Richard A. Lewis & Robert D. Lewis Trust	Lewis, Richard	\$ 42,500.00
Antonia M. Marsden Revocable Trust	Marsden, Antonia	\$ 42,500.00
Meyer Investment Partners	Meyer, Paul	\$ 88,000.00
Morris Investment Company	Goldstein, Harold	\$ 34,000.00
Wesley E. Mudpe Trust	Mudpe, Wesley E	\$ 210,000.00
Overkill Investments Inc	Heinz, Clifford	\$ 34,000.00
Ratterman, Walter		\$ 42,500.00
Neal & Jean Ross Family Trust	Ross, Jean	\$ 42,500.00
Routt, J Robert		\$ 42,500.00
Inherited IRA of John Sammond	Denholm, Diane	\$ 329,624.00
Date A. Sausser Trust	Sausser, Thomas	\$ 125,000.00
Raleigh L. Shakles Trust	Shakles, Lee	\$ 170,000.00
John J. Shea Jr.		\$ 125,000.00
Swanson, Thomas		\$ 42,500.00
Volunteer Limited Liability Co	Moss, Ted	\$ 42,500.00
John H. Waldoch Trust	Waldoch, John	\$ 42,500.00
Waldorf Family Trust	Waldorf, Charles	\$ 42,500.00
Charles Weldner Trust	Weldner, Charles	\$ 42,500.00
Weingart, Ronald & Jermyne		\$ 42,500.00
Wintsch Family Trust	Wintsch, Herbert	\$ 125,000.00
Wright Family Capital LP	Pierce, Alan K.	\$ 500,000.00
Subtotal Redeeming Victims- Altegris		\$ 3,561,826.00
Redeeming Victims- Lydian	Alternate Contact	Net Loss
		After Reimbursement
Bansal Foundation	Bansal, Vikesh	\$ 500,000.00
Gary N. Brown Revocable Trust	Brown, Gary	\$ 250,000.00
Canning Limited Partnership	Freedman, Julia & Mona	\$ 500,000.00
Ciconia & Co		\$ 380,000.00
Crowley, George		\$ 250,000.00
Dor Family LLC	Dor, Jay	\$ 500,000.00
Friesen, Gerald		\$ 250,000.00
Garfinkel, Eric & Diane		\$ 750,000.00
Kampf, Joseph & Barbara		\$ 400,000.00
Insight Multi-Strategy Fund, LLC	Boldman, Christopher	\$ 1,750,000.00
Meyer Mutual Fund LLC	Meyer, William	\$ 250,000.00
Terry A. Perl Revocable Trust	Perl, Terry	\$ 280,000.00
Schilit Family Partnership	Schilit, Howard & Diane	\$ 1,087,500.00
SCW Holdings LLC	Sedgwick, Theodore	\$ 530,000.00
Shangri-La LLC	Bansal, Vikesh	\$ 752,500.00
Small Family Trust	Small, Jeffrey	\$ 500,000.00
Jewish Federation of Greater Washington	Galperin, Mikhail David	\$ 1,000,000.00
Wolford, Douglas		\$ 250,000.00
Wolford, Karen		\$ 250,000.00
Subtotal Redeeming Victims- Lydian		\$ 10,430,000.00

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ATTACHMENT B

ATTACHMENT B

		LOSS
Investor Name	Alternative Contact	Amount
Fred Montesl IRA and Fred Montesl	Carole Neville, Esq.	\$58,563.00
Helen Yulman Revocable Trust	Carole Neville, Esq.	\$337,500.00
KFI Capital Partners LLC	Carole Neville, Esq.	\$300,000.00
Mary Jane Pidgeon Sledge	William Munho, Esq.	\$342,000.00
Mayer & Morris Kaplan Foundation	Carole Neville, Esq.	\$460,000.00
YK Investment Partnership II	Carole Neville, Esq.	\$750,000.00
William Strang		\$50,000.00
Randall M. & Sheryl B. Rothstein	Carole Neville, Esq.	\$25,000.00
Alan Osofsky	Gary Simon, Esq.	\$25,000.00
Highgate Partners LP	Gregory Schwed, Esq.	\$323,000.00
DW Resources Defined Benefit Plan and Marc Daniels	Marc Daniels, pro se	\$25,046.00
H&B Hedge Fund II LLC	Carole Neville, Esq.	\$1,500,000.00
Marc Fleisher IRA and Marc Fleisher	Carole Neville, Esq.	\$25,000.00
Peter Haje IRA and Peter Haje	Jeff Friedman, Esq.	\$410,275.00
Kevin Bass	Carole Neville, Esq.	\$25,000.00
Michael Davidson	Carole Neville, Esq.	\$25,000.00
Neil D. Cohen	Carole Neville, Esq.	\$50,000.00
Edward & Virginia Sorkin	Carole Neville, Esq.	\$20,400.00
Total		\$4,741,783.00

ATTACHMENT C

ATTACHMENT C

Investor Name	Contact	Loss Amount
Sterling Stamos Security Fund, L.P.	Sterling Stamos Capital Management, L.P. Attn: Jared Kanover, Esq.	\$6,598,900
Sterling Stamos Security Fund—Friends and Family, L.P.	Sterling Stamos Capital Management, L.P. Attn: Jared Kanover, Esq.	\$858,000
Sterling Stamos Growth Fund, L.P.	Sterling Stamos Capital Management, L.P. Attn: Jared Kanover, Esq.	\$2,970,000
Sterling Stamos Liquidity Fund, L.P.	Sterling Stamos Capital Management, L.P. Attn: Jared Kanover, Esq.	\$2,153,624
Redwood Growth Partners, L.P.	Redwood Growth Partners, L.P. c/o Tina Badciong 6410 Poplar Avenue Suite 650 Memphis, TN 38119	\$1,200,000
Heritage Hedged Equity fund, L.P.	Heritage Hedged Equity Fund, L.P. c/o Miles S. Fortas 6075 Poplar Avenue Suite 700 Memphis, TN 38119	\$4,625,000
Christian Brothers High School Endowment	Christian Brothers High School Endowment 5900 Walnut Grove Road Memphis, TN 38120	\$1,600,600
Marvin E. Bruce Living Trust	Marvin Bruce Living Trust Attn: Marvin E. Bruce 3747 Peachtree Rd. NE Apt. 341 Atlanta, GA 30319	\$1,775,000

Mary P. Smythe Residuary Trust	Mary P. Smythe Residuary Trust Attn: Dale Rainwater First Tennessee Trust Division 4385 Poplar Avenue Memphis, TN 38117	\$1,250,000
John D. Canale, III	John D. Canale, III c/o Connie G. Ingram D. Canale & Co. 79 South Second Street Memphis, TN 38103	\$1,000,000
D. Canale Beverages, Inc., now known as D. Canale & Co. Inc.	John Canale and Chris Canale c/o Connie G. Ingram D. Canale & Co. 79 South Second Street Memphis, TN 38103	\$1,500,000
Freestone Low Volatility Fund, LP	Gary Furukawa c/o Freestone Capital Management LLC 1918 8th Avenue Suite 3400 Seattle, Washington 98101	\$500,000

ATTACHMENT D

ATTACHMENT D

Investor Name	Alternate Contact	Loss Amount
DB Structured Products, Inc.	Kevin J. Burke, Esq.	\$16,240,000